

Attorney Docket Number NC 84,381

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Doolan, et al  
Serial No.: 10/706,088  
Filed: November 13, 2003

Title: METHODS AND COMPOSITIONS FOR INDUCING IMMUNE RESPONSES  
AND PROTECTIVE IMMUNITY BY PRIMING WITH ALPHA VIRUS REPLICON  
VACCINES

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

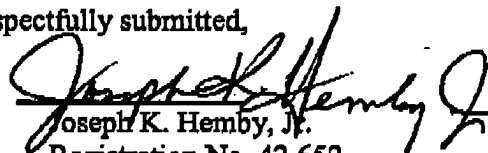
Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter; and
- (2) Executed Declaration (4 pages)
- (3) Supplemental submission of Missing Parts
- (4) Amendment (2 pages)
- (5) Notice to file missing parts (2 pages)

The applicants have already paid \$130.00 surcharge for the late filing of the oath or declaration and \$1,480.00 for four-month extension of time. The Commissioner is also hereby authorized to charge any other fees that may be due or credit overpayment to deposit account 14-0595.

Respectfully submitted,

By:



Joseph K. Hemby, Jr.  
Registration No. 42,652  
Customer No. 22245  
Fax No. 301 319-7432

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being transmitted by facsimile reproduction to the Patent and Trademark Office, (703) 872-9306 on the date shown below.

  
A. CHURRUARÍN

August 31, 2004.

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SUPPLEMENTAL SUBMISSION OF MISSING PARTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Notice of Missing Parts was mailed March 19, 2004. A response to the Notice of Missing Parts was submitted by facsimile on August 27, 2004 that correctly included executed declarations but did not include a statement that the content of the sequence listing recorded in computer readable form is identical to the written sequence listing, as required in the Notice of Missing Parts.

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

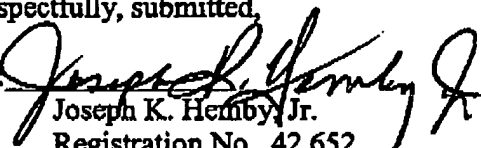
- (1) Executed Declaration (4 pages)
- (2) Amendment to specification containing sequence listing statement (2 pages)

The submission of the statement by the amendment does not include new matter.

Applicants have already paid \$130.00 for the late surcharge for the late filing of the oath or declaration and \$1,480.00 for the four-month extension of time. The Commissioner is also hereby authorized to charge any other fees that may be due or credit overpayment to deposit account 14-0595.

Respectfully, submitted,

By:

  
Joseph K. Hemby, Jr.  
Registration No. 42,652  
Customer No. 22245  
Fax No. 301 319-7432

Date: August 31, 2004

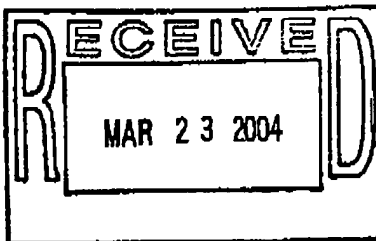


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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/706,088	11/13/2003	Doolan	84,381

22245  
NAVAL MEDICAL RESEARCH CENTER  
ATTN: (CODE 00L)  
503 ROBERT GRANT AVENUE  
SILVER SPRING, MD 20910-7500



CONFIRMATION NO. 8196

FORMALITIES LETTER



0C000000012118271\*

Date Mailed: 03/19/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.63(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
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*A copy of this notice **MUST** be returned with the reply.*



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